



Online Filing Solution



• 1 Shalimar Avenue

• Kingston 3

• 928-5141-8

• www.fid.gov.jm

goamlsupport@fid.gov.jm

OVERVIEW

- Effort at Online Reporting Solution began - 2006
- Implementation of PDF and XML forms - 2008 – 2011
 - Challenges:
 - Quasi-Manual process
 - Data Quality
 - Slow Analysis
- Discussions with UNODC about goAML - 2011
- Sourcing of Funds 2011- 2013
- Signing of goAML funding agreement - 2013



UNODC

United Nations Office on Drugs and Crime

<http://goaml.unodc.org/>



UNODC
SOFTWARE

What is goAML?

- ▶ goAML is a product of the United Nations Office of Drug and Crime (UNODC) in response to the need to combat money-laundering.
- ▶ It is an intelligence analysis system intended to be used by the FIU (Financial Intelligence Unit).
- ▶ A FIU is responsible for receiving, analysing and processing reports required from financial institutions or person referred to in national anti-money-laundering legislation.

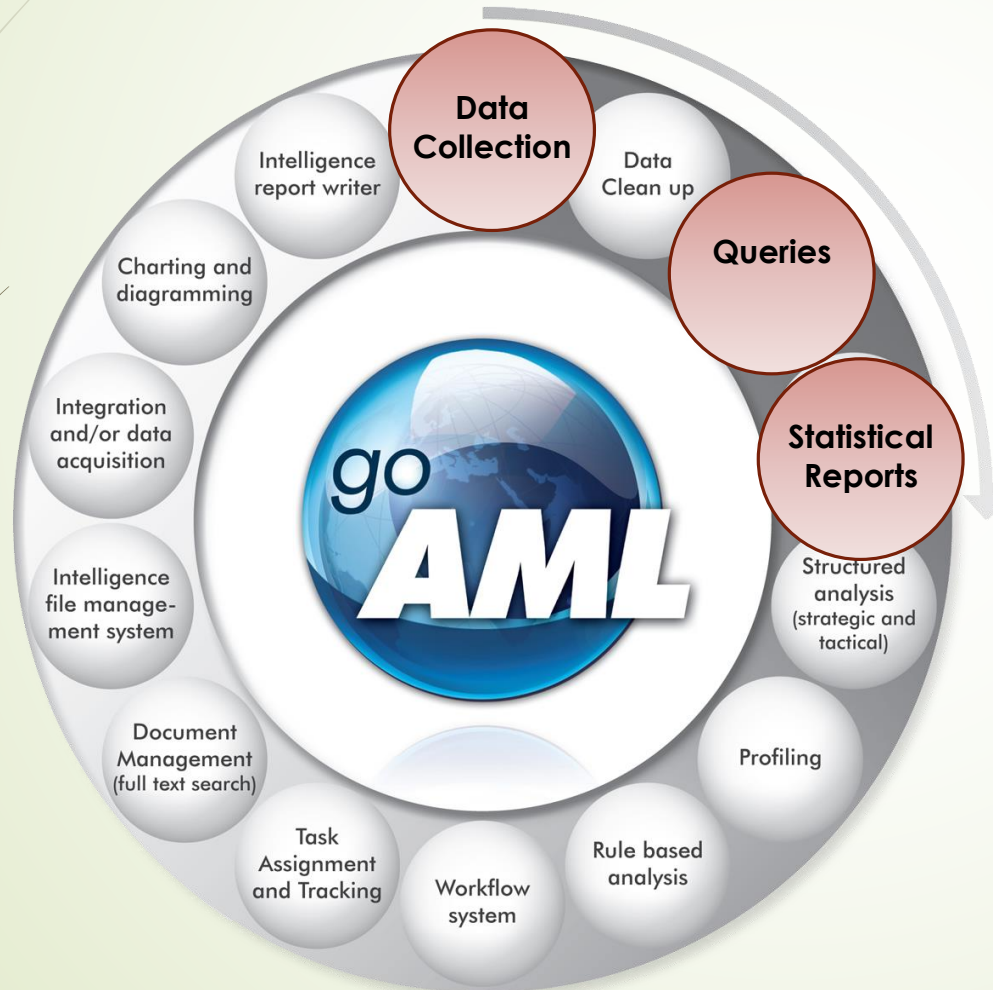
COLLECTING

ANALYZING

DISSEMINATING

goAML SOFTWARE SOLUTION

COMPONENTS



Data Collection

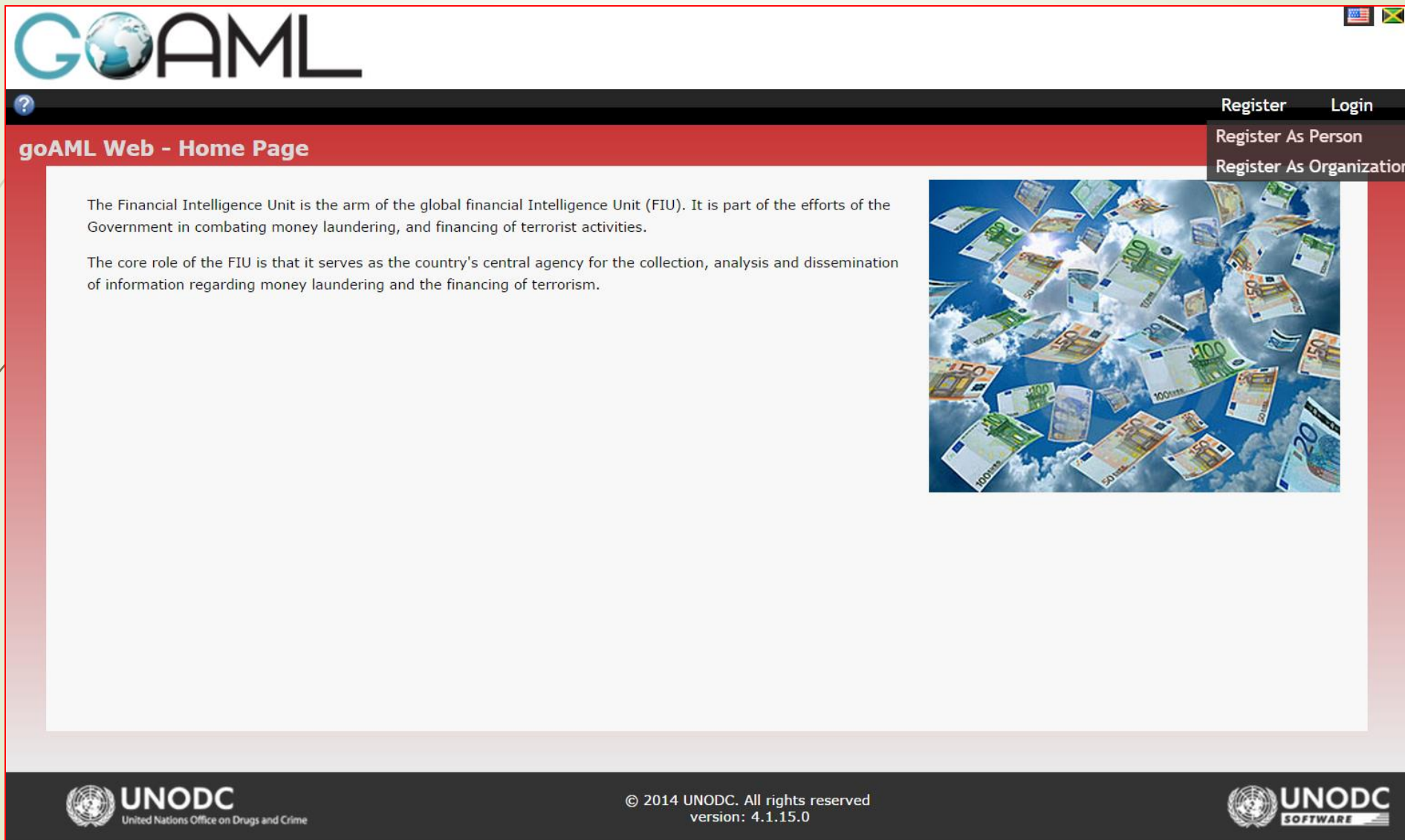


Queries



Statistical Reports

ONLINE REGISTRATION



The screenshot shows the goAML website home page. At the top left is the goAML logo, which includes a globe icon. To the right of the logo are flags for the United States and Jamaica. Below the logo is a navigation bar with a question mark icon and three links: "Register", "Login", and "Register As Organization". The main content area has a red header with the text "goAML Web - Home Page". Below this header, there are two paragraphs of text. The first paragraph states: "The Financial Intelligence Unit is the arm of the global financial Intelligence Unit (FIU). It is part of the efforts of the Government in combating money laundering, and financing of terrorist activities." The second paragraph states: "The core role of the FIU is that it serves as the country's central agency for the collection, analysis and dissemination of information regarding money laundering and the financing of terrorism." To the right of the text is a large image of various Euro banknotes (50, 100, 150, 200) floating in a blue sky with white clouds. At the bottom of the page, there is a footer with the UNODC logo and text: "UNODC United Nations Office on Drugs and Crime", "© 2014 UNODC. All rights reserved version: 4.1.15.0", and "UNODC SOFTWARE".

GOAML

Register Login
Register As Person
Register As Organization

goAML Web - Home Page

The Financial Intelligence Unit is the arm of the global financial Intelligence Unit (FIU). It is part of the efforts of the Government in combating money laundering, and financing of terrorist activities.

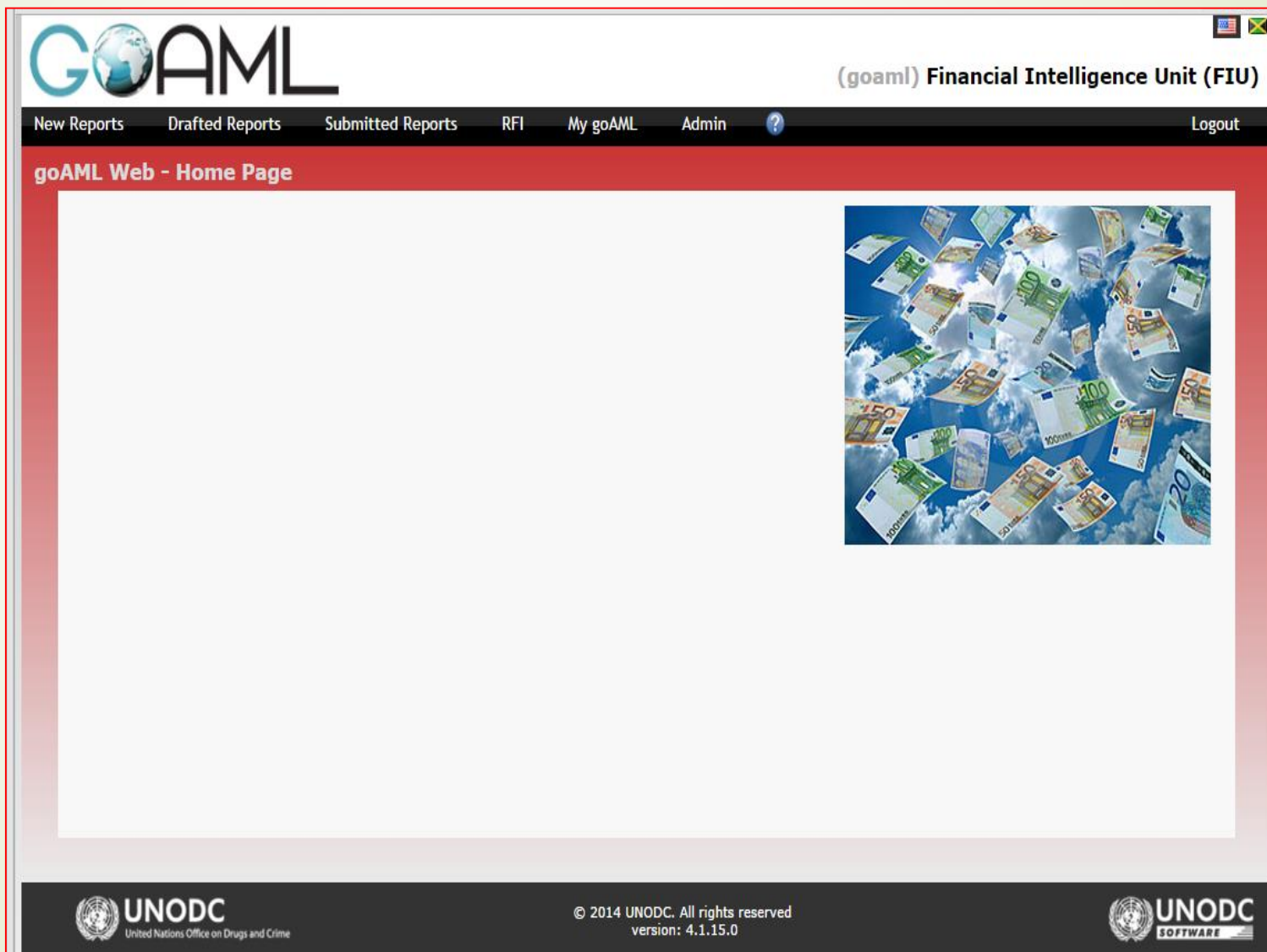
The core role of the FIU is that it serves as the country's central agency for the collection, analysis and dissemination of information regarding money laundering and the financing of terrorism.

UNODC United Nations Office on Drugs and Crime

© 2014 UNODC. All rights reserved
version: 4.1.15.0

UNODC SOFTWARE

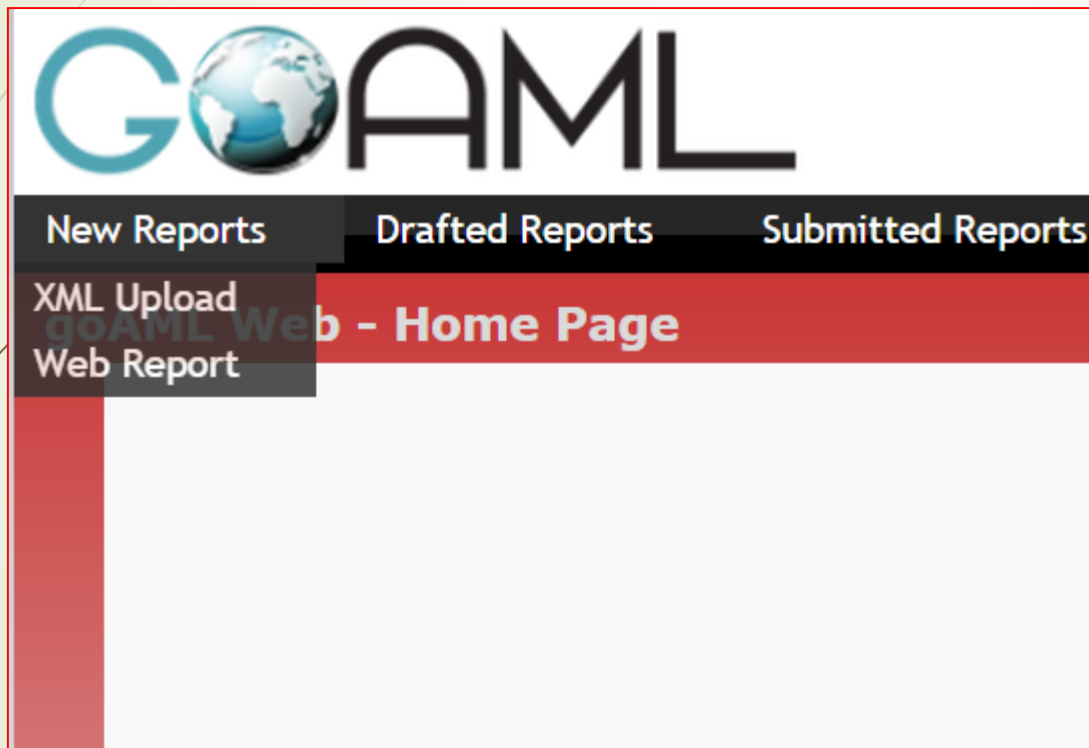
ONLINE PORTAL



The screenshot shows the goAML web portal interface. At the top left is the "GOAML" logo, where the "O" is a globe. To the right of the logo is the text "(goaml) Financial Intelligence Unit (FIU)". Below this is a navigation menu with links for "New Reports", "Drafted Reports", "Submitted Reports", "RFI", "My goAML", "Admin", and "Logout". A red banner below the menu reads "goAML Web - Home Page". The main content area features a large image of various Euro banknotes (100, 50, 20) floating in a blue sky with white clouds. At the bottom of the page is a black footer containing the UNODC logo and text: "UNODC United Nations Office on Drugs and Crime", "© 2014 UNODC. All rights reserved version: 4.1.15.0", and "UNODC SOFTWARE".

Data
Collection

REPORTING SYSTEM

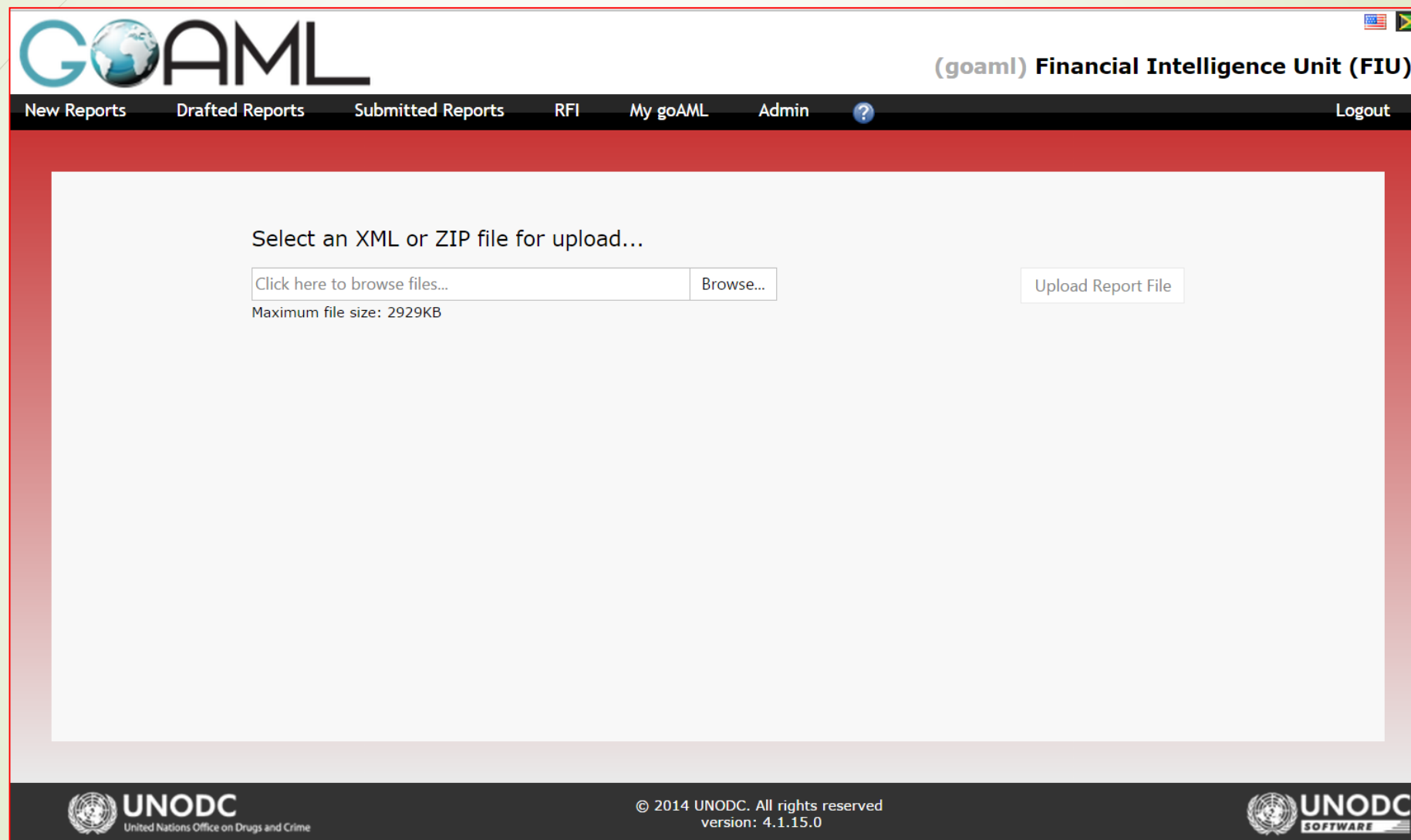


Reports will either be submitted:

- 1. Direct upload of XML Form**
(EXtensible Markup Language)
- 2. Completing online form through the web portal**


Data
Collection



XML UPLOAD



The screenshot shows the goAML web interface. At the top left is the goAML logo, and at the top right are the flags of the United States and Jamaica. Below the logo is the text "(goaml) Financial Intelligence Unit (FIU)". A navigation bar contains the following items: "New Reports", "Drafted Reports", "Submitted Reports", "RFI", "My goAML", "Admin", a question mark icon, and "Logout". The main content area has a red header and contains the text "Select an XML or ZIP file for upload...". Below this text is a file selection interface with a text input field containing "Click here to browse files...", a "Browse..." button, and an "Upload Report File" button. Below the input field, it says "Maximum file size: 2929KB". The footer contains the UNODC logo and text "UNODC United Nations Office on Drugs and Crime" on the left, "© 2014 UNODC. All rights reserved version: 4.1.15.0" in the center, and the UNODC SOFTWARE logo on the right.

WEB REPORTING



(goaml) Financial Intelligence Unit (FIU)

New Reports
Drafted Reports
Submitted Reports
RFI
My goAML
Admin
?
Logout

Report Type: STR **ID: ---**

Entity ID	<input type="text" value="Financial Intelligence Unit (FIU)"/>	Reporting Entity Branch	<input type="text"/>
Type*	<input type="text" value="Suspicious Transaction Report - POCA"/>	Reporting Entity Reference	<input type="text"/>
Submission Date*	<input type="text"/>	FIU Reference	<input type="text"/>

Reporting Person ↻


First Name	Last Name	Birth Date	TRN	Passport Number
FIU	User			

Location +

Indicators +


Transactions * +

Submit Report
Save Report



UNODC
United Nations Office on Drugs and Crime

© 2014 UNODC. All rights reserved
version: 4.1.15.0



REPORT EVALUTION and ACCEPTANCE

➤ XML Validator

- Analyse reports before submission is allowed

➤ Data evaluation and clean up automatically checks incoming electronic data for completeness and accuracy. Checks for :

- Valid XML format
- Presence of all required fields
- Correct data

BENEFITS of goAML

- Fully automated registration system
- Implementation of mandatory fields on reports
- Automatic report delivery receipts
- Secure two way communication via the message board
- Statistical reports on reporting and registrations
- Quicker report validation and acceptance
- Automatic Reporting Entities Compliance Status

goAML IMPLEMENTATION TIMELINE

❖ Phase 1:

- Installation & Training
 - Started January 2014

❖ Phase 3

- Production Readiness:
 - Testing of XML
 - Web Application Tuning
 - Reporting Entity Registration
 - Migration to full Production
- ~June 2016

❖ Phase 2

- System Configuration:
 - ✓ Customization of XML & Web
 - ✓ Work Flow Design
 - ✓ Deployment of XML Schema
 - Training of Reporting Entities
 - Setup Roles and Users
 - Completing custom Forms
- Setup of goAML Infrastructure
 - Started March 2014

TIMELINES

- ▶ Pilot Implementation Testing
 - ▶ April – June 2016

- ▶ Production Readiness
 - ▶ June 2016

- ▶ Full Implementation
 - ▶ goAML will then become the main method of reporting to the FID
 - ▶ The FID will continue to accept reports on paper in special circumstances and for a limited time

NEXT STEPS

- Complete acquisition and configuration of hardware to setup goAML infrastructure
- Testing of reporting Infrastructure
- Registration of Reporting Entities
- Production Implementation

goAML Infrastructure: Main Focus



- 90% of resources in hand to build environment
- Remaining resources on island and delivery anticipated

goAML Web Portal





goAML Financial Reporting System

ACCESS THE PORTAL



FID Online Reporting Portal

On This Page

Welcome

About

How To

Reporting Resources

FID Advisories

Guidance Notes

News & Updates

Regulated Businesses &
Reporting Entities

Competent Authorities &
Industry Bodies

Reporting Forms

Gazetted Documents

FAQ

Welcome

About goAML

How To

Reporting Resources

FID Advisories

Guidance Notes

News & Updates

Regulated Businesses & Reporting Entities

Competent Authorities & Industry Bodies

Gazetted Documents

FAQ

Make a Report

Login to goAML

Register

Register as an
Organization

Register as an Individual

Support

Contact goAML Support

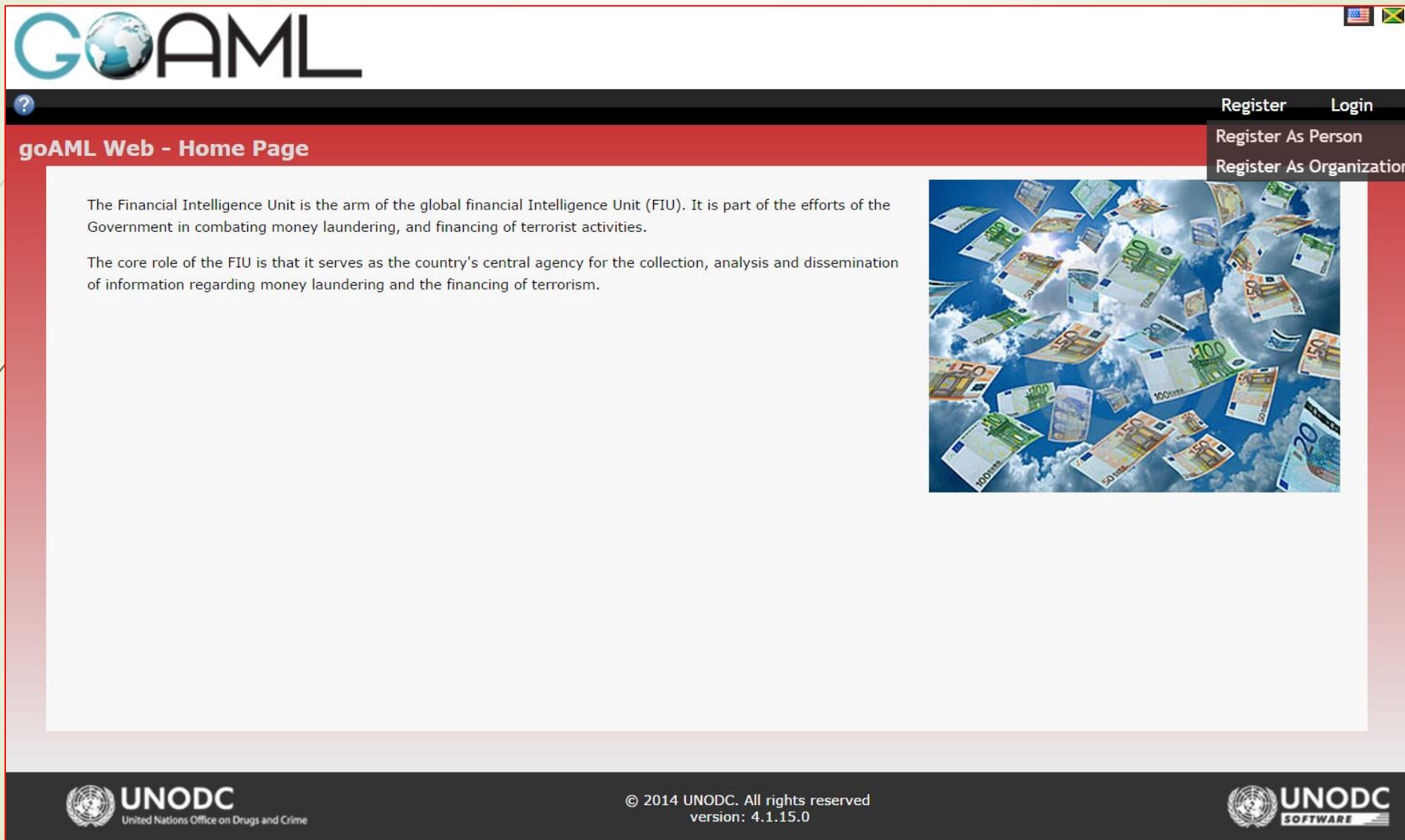
Quick Links

Legislation

UNODC

BOJ AML/CFT Policy

Online Portal



The screenshot shows the goAML Web Home Page. At the top left is the goAML logo, which includes a globe icon. To the right of the logo are flags for the United States and Jamaica. Below the logo is a navigation bar with a question mark icon and three links: "Register", "Login", and "Register As Organization". The main content area has a red header with the text "goAML Web - Home Page". Below this header, there are two paragraphs of text. The first paragraph states: "The Financial Intelligence Unit is the arm of the global financial Intelligence Unit (FIU). It is part of the efforts of the Government in combating money laundering, and financing of terrorist activities." The second paragraph states: "The core role of the FIU is that it serves as the country's central agency for the collection, analysis and dissemination of information regarding money laundering and the financing of terrorism." To the right of the text is an image of various Euro banknotes (50, 100, 150, 200) floating in a blue sky with white clouds. At the bottom of the page, there is a footer with the UNODC logo and text: "UNODC United Nations Office on Drugs and Crime", "© 2014 UNODC. All rights reserved version: 4.1.15.0", and "UNODC SOFTWARE".

goAML

Register Login
Register As Person
Register As Organization

goAML Web - Home Page

The Financial Intelligence Unit is the arm of the global financial Intelligence Unit (FIU). It is part of the efforts of the Government in combating money laundering, and financing of terrorist activities.

The core role of the FIU is that it serves as the country's central agency for the collection, analysis and dissemination of information regarding money laundering and the financing of terrorism.

UNODC United Nations Office on Drugs and Crime

© 2014 UNODC. All rights reserved
version: 4.1.15.0

UNODC SOFTWARE



Role of the Regulator



Question?



- 1 Shalimar Avenue

- Kingston 3

- 928-5141-8

- www.fid.gov.jm

- goamlsupport@fid.gov.jm